

Corporate Compliance and Ethics, M.S.

FOX SCHOOL OF BUSINESS AND MANAGEMENT (<https://www.fox.temple.edu>)

About the Program

This program is not accepting applications for the 2019-2020 academic year.

Courses

LGLS 5051. Business Law. 1.5 Credit Hour.

This course provides an overview of contract law, the foundation of all business law, with special attention given to issues often encountered by business executives. In addition, a broad range of ethical workplace dilemmas will be covered. Topics include sources of law, the organization of the United States legal system, selected issues in domestic and international contracts, diversity in the workplace, privacy and technology, and whistle-blowing.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may not be repeated for additional credits.

LGLS 5131. Environ Law & Corp Resp. 3 Credit Hours.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may not be repeated for additional credits.

LGLS 5155. E-Commerce Law & Regulation. 1.5 Credit Hour.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may not be repeated for additional credits.

LGLS 5170. Special Topics. 1 to 6 Credit Hour.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may be repeated for additional credit.

LGLS 5180. Special Topics. 1 to 6 Credit Hour.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may be repeated for additional credit.

LGLS 5182. Independent Study. 1 to 3 Credit Hour.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may be repeated for additional credit.

LGLS 5190. Special Topics - Law. 1 to 6 Credit Hour.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may be repeated for additional credit.

LGLS 5282. Independent Study. 1 to 3 Credit Hour.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may be repeated for additional credit.

LGLS 5301. Business Law. 1.5 Credit Hour.

The legal system affects each of us on a daily basis in both our personal and professional lives. Business owners and managers, no matter what specific profession they may choose, must learn certain core legal concepts that can help guide decision making. This course will examine the structure of the United States and international legal systems, essentials of tort and contract law with an emphasis on issues relating to sales, services and employment. We will also cover the interplay between fiduciary duties, corporate governance and whistle-blowing.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

College Restrictions: Must be enrolled in one of the following Colleges: Business & Mngmnt, Fox School.

Repeatability: This course may not be repeated for additional credits.

LGLS 5602. Ethics in the Public and Commercial Sectors. 3 Credit Hours.

This course will provide an overview of the study of ethics and its operations in the public and commercial sectors. It will emphasize the identification and analysis of ethical issues in the regulatory and corporate contexts and examine the roles of government and business in society, focusing upon its various stakeholders, including government regulators, consumers, corporate actors, employees, and local/national/global communities. It will also dissect the ethical dimensions of ordinary regulatory and corporate decision-making.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may not be repeated for additional credits.

LGLS 5603. Public Policy and Regulatory Process. 3 Credit Hours.

The course will introduce students to the study of public policy - that which addresses the interests of the public and fuels the creation of national, state and local laws, as well as internal codes of corporate conduct. The course will assist students in comprehending how public officials make decisions that positively and negatively affect people's lives in the public and private sectors. The course will examine how problems reach the government's agenda, why some solutions are embraced and others rejected, and why some policies seem to flourish while others flop. Students will be encouraged to intelligently analyze policies, find their strengths and weaknesses, and apply knowledge of the policy process to any issue confronted in daily life. Students will also understand how legislation is proposed and passed, how legislation is enforced, and how stakeholders can affect the ultimate acceptance or rejection of a proposed policy or regulation. Additionally, students will understand the dynamic nature of public policy by comparing prior policy, legislation, and public attitude with that of the modern world.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may not be repeated for additional credits.

LGLS 5604. Corporate Compliance. 3 Credit Hours.

This course provides an overview of the world of corporate compliance. The Compliance Officer's ability to investigate wrongdoing at the workplace, through internal investigations and audits, will be explored, along with case study exercises that involve the interaction between corporate entities and federal and state agencies. Students will analyze the interrelationships between the public and private sectors and the nature of legal liability for business entities and their employees. The course will also emphasize the creation and maintenance of corporate compliance programs, codes of conduct and policies, with drafting and critiquing exercises. Substantive course topics include: corruption, workplace discrimination, data privacy, trade control, and environmental risk.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may not be repeated for additional credits.

LGLS 5605. Corporate Governance and Responsibility. 3 Credit Hours.

This course will provide an overview of corporate governance, the systems, principles and processes by which corporations are directed and controlled. It will explore inter-related governance structures (e.g., the relationships between and among boards of directors, management, shareholders in contemporary business organizations) and analyze how companies can be directed such that they satisfy their objectives in a way that builds value and benefits stakeholders. It will analyze the intersection of responsible management and good public policy. Students will consider how a business organization can build and sustain a culture that is supportive of ethical decision-making, both internally and in relation to the political process. Students will look at the kinds of ethical dilemmas that confront managers, at the pressures that can cloud good judgment, and at mechanisms for communicating best ethical practices across an organization. As they analyze a series of business ethics case studies, students will weigh possible action choices in light of both relevant law and market imperatives.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may not be repeated for additional credits.

LGLS 5606. Forensic Accounting. 3 Credit Hours.

This course explores the growing field of forensic and investigative accounting. Students will be introduced to the basic concepts of auditing, internal control, valuation, fraud examination, and litigation support. The objectives of this course include understanding the principles and practices that guide accountants, financial professionals, and Certified Fraud Examiners.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may not be repeated for additional credits.

LGLS 5607. Global Public Policy and Business. 3 Credit Hours.

Public policy refers to the relationship between the government and the governed. This relationship includes the complex array of statutes, regulations, and often judicial decisions that affect the actions of ordinary citizens by guiding their behavior and providing ramifications for acting outside of socially accepted norms. Policies reflect the values and beliefs of a specific community, whether local, regional, national or international. Businesses are also affected by these policies. The behavior of firms, of their employees, and of their governing institutions, are driven by policies developed by government actors. Policies for businesses are most often reflected in the form of regulations, which guide firm behavior with respect to financing, labor standards, transparency, interaction with the environment, and so forth. Debates rage about whether a strong regulatory environment facilitates effective economic development with a consumer focus or whether it restricts economic growth potential. In this course, we will examine business-relevant issues within the global public policy environment. We will compare and contrast the regulatory environments of distinct countries to that of the United States in order to judge effectiveness, viability, and the relationship between that environment and business growth. This class will be case study intensive and will require students to apply deep critical analysis skills as they read course materials and complete assignments.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may not be repeated for additional credits.

LGLS 5611. Compliance in the Financial Services Industry. 3 Credit Hours.

This course focuses on the regulatory landscape concerning the financial services industry. Students will learn about the basic regulatory principles guiding financial institutions, as well as modern changes to be implemented as a result of the recent financial crisis. Additionally, students will learn about the intersection between the different regulatory bodies that oversee both public and private financial institutions. Specific topics to be discussed include: the Dodd-Frank Act, the Glass-Steagall Act, the Gramm-Leach-Bliley Act, and the Right to Financial Privacy Act.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may not be repeated for additional credits.

LGLS 5612. Ethics in the Financial Services Industry. 3 Credit Hours.

This course explores ethical issues in the financial services industry. The need for ethical practices in the financial services industry has been repeatedly discussed following the recent financial crisis. Students will discuss the often-unclear boundaries between ethical and legal practices. Students will also explore the relationship between different financial services organizations, shareholders, regulators, and customers. The objective of this course is to prepare students to make ethical decisions that positively affect both their organization and outside stakeholders.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may not be repeated for additional credits.

LGLS 5613. Compliance in Healthcare. 3 Credit Hours.

This course focuses on the regulatory landscape concerning the healthcare industry. Innovations in technology, an aging population, and the passing of the Patient Protection Affordable Care Act are poised to greatly change the American healthcare industry. Students will learn how these changes will affect their respective organizations. Upon completion of this course, students will be better equipped to serve as effective compliance leaders.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may not be repeated for additional credits.

LGLS 5614. Ethics in Healthcare. 3 Credit Hours.

This course explores the ethical issues in the healthcare industry. The nature of the doctor-patient relationship in healthcare creates unique ethical issues. Students will learn how to recognize and confront these ethical issues. Through an open discussion and analysis of current events, students will explore the delicate balance between the patient's interests and the business decisions facing modern healthcare providers.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may not be repeated for additional credits.

LGLS 5615. Risk Management and Compliance. 3 Credit Hours.

Risk is a future phenomenon. As part of our eternal quest to control some small component of our future, it is the focus of many business discussions, thus making it an essential part of decision making. The challenge and the focus of the course is on creating a degree of consistency in managing risk and the risk process. The course uses a holistic approach, examining risk as a blend of environmental, programmatic, and situational concerns, covering the latest perspectives, focusing on a systematic approach to risk management. We also focus on specific techniques to enhance organizational risk identification, assessment, and management, all within the project and program environments.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may not be repeated for additional credits.

LGLS 5616. Anti-Money Laundering. 1.5 Credit Hour.

Money laundering generally refers to financial transactions in which criminals, including terrorist organizations, attempt to disguise the proceeds, sources or nature of their illicit activities. Money laundering facilitates a broad range of serious underlying criminal offenses and ultimately threatens the integrity of the financial system. The course reviews the various forms of money laundering and how it may be combated.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may not be repeated for additional credits.

LGLS 5617. Sustainability in Business. 3 Credit Hours.

A sustainable approach to business requires business leaders to transform the traditional linear business production cycles that exploit the environment and produce wastes into a more circular one in which all waste products are used in the production cycle, in an industrial cluster, or returned harmlessly to the earth. Waste reflects inefficiency, pollution risks, and seeking its elimination leads to innovation. The change of perspective is especially necessary today. In the first industrial revolution, humans had abundant resources and limited labor. Now the resources available to businesses are limited and labor (whether human or automated) is abundant. So, business leaders need to learn how to do more with what they have. In the course, we study industrial ecology as means to develop new business models and processes that generate better products, reduce costs, protect the environment, and make money for the firm. In doing so, we study cases and provide students the opportunities to reconsider their own industries and develop entrepreneurial ideas.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may not be repeated for additional credits.

LGLS 5618. Compliance in the Government Services Industry. 1.5 Credit Hour.

The work of corporate governance is divided into two main parts: compliance and ethics. This course focuses on compliance in the government services industry, which includes the rules and regulations that companies have to follow when doing work for the government. That is to operate in the area of corporate governance, one needs to know and put safeguards in place to make sure the company is following applicable rules, including, but not limited to rules against conflicts of interest, drafting codes of conduct, handling employee violations of the code of conduct, and monitoring vendors.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may not be repeated for additional credits.

LGLS 5619. Ethics in Government Services Industry. 1.5 Credit Hour.

This course focuses on ethics in the government services industry, which includes helping students identify and incorporate certain ethical frameworks that they can apply to moral conflicts in business with the government. That is to say, it is not enough to know the rules, one must know how to apply them, why you are applying them and, at times, when to disregard them. The course focuses on ethical issues that specifically arise in the government services industry.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may not be repeated for additional credits.

LGLS 5622. Law and Policy of the Workplace. 3 Credit Hours.

Social media and privacy rights. Sexual harassment. Trade secrets and Non-Compete Agreements. Conscious and Unconscious Bias. This course will explore the rights and responsibilities of workers and managers alike by examining the laws, regulations, court cases and policies that govern the employer-employee relationship. It aims to provide you with a basic understanding of workplace law and policy while challenging you to question existing approaches to the employment relationship. The course will use cases and questions currently in the news and in the courts to examine the U.S. approach to the workplace: Should employers control employees' access to birth control or other medical care? Can a company perform criminal background or credit checks on applicants without violating race discrimination prohibitions? How would a mandate of paid time off to care for a new child or ill family member impact a business's bottom line? What role should the government play in setting a minimum wage and how does it affect workers and their employers? This course will ask questions like these to help you understand the way in which compliance with workplace laws impacts numerous aspects of a business and to help you articulate your own view of the employer-employee relationship.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may not be repeated for additional credits.

LGLS 5623. Cyber-Security and Privacy. 3 Credit Hours.

This course introduces students to the interdisciplinary study of cybersecurity and privacy rights. It examines the development of cybersecurity (how we can protect against the criminal or unauthorized use of electronic data) and how this topic relates to the government and business sectors and interfaces with individual privacy rights (i.e., the right of a person to be free from intrusion into matters of a personal nature). Students will investigate national and global legal and policy considerations related to cybersecurity and privacy, including cybercrime, homeland security and cyberwarfare, and how law and policy is created in this arena. In addition, students will study the existing legal frameworks that protect consumer privacy and the security protections for private sector businesses, with strong focus on regulatory and compliance matters.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may not be repeated for additional credits.

LGLS 5701. Legal and Ethical Foundations of Business. 3 Credit Hours.

Business leader's face values conflicts. This course analyzes economic and moral theories people use to assess whether proposed actions are right or wrong, good or bad, just or unjust. While moral theories can guide our thinking, we explore why they do not always guide our behavior. That brings us to the law. Laws constitute socially imposed incentives and disincentives to encourage people to do what society deems "right". In that way, law represents substantive decisions by the state that are values-based and do not necessarily yield just results. In this course, we come to understand that our laws are not without moral import and that ethics must inform the law because the law in and of itself does little work in informing what should be. It mostly speaks to what is.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

College Restrictions: Must be enrolled in one of the following Colleges: Business & Mngmnt, Fox School.

Repeatability: This course may not be repeated for additional credits.

LGLS 5801. Business Ethics. 2 or 3 Credit Hours.

You will develop greater confidence in managing situations where legal and ethical questions are prominent and where the right decision may not be the easy one to make. As you learn to master online legal research and the basics of business law, you'll discuss actual dilemmas that you've faced at work. As issues involving diversity, surveillance technology, environmental protection, workplace safety, whistle blowing and sexual harassment surface in discussion, you'll gain insight into ways of resolving these complex business problems in both classical and digital enterprises. Note: Enrollment limited to students in the EMBA program.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may not be repeated for additional credits.

LGLS 5882. Independent Study. 1 to 6 Credit Hour.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may be repeated for additional credit.

LGLS 5890. Special Topics. 1 to 6 Credit Hour.

Level Registration Restrictions: Must be enrolled in one of the following Levels: Graduate.

Repeatability: This course may be repeated for additional credit.